

03-19-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792 809

First Named Inventor or Application Identifier

Atsuo Omaru

Express Mail Label No: EL281361308US

Only for new nonprovisional applications under 37 CFR 1.53(b)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 61
2. ☒ Drawing(s) (35USC 113) Total Pages 4
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,  
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No.  
P2000-073453 1 filed March 16, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	46	26	\$18.00	468.00
INDEPENDENT CLAIMS	8	5	80.00	400.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$1,578.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,578.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: March 16, 2001

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Washington, D.C.

March 16, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND  
METHOD OF PREPARING CARBON-BASED MATERIAL FOR  
NEGATIVE ELECTRODE"  
Applicant(s) Atsuo Omaru et al.  
Attorney Docket No. 09792909-4809

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 46 claims (8 independent), 4 sheets of drawings (Figs. 1-4) and the filing fee of  
\$1,578.00 on behalf of

ATSUO OMARU and YUSUKE FUJISHIGE

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

  
David R. Metzger

Enclosures

EXPRESS MAIL #EL281361308US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: March 16, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Atsuo Omaru and Yusuke Fujishide

Attorney Docket No. 09792909-4809

\$1,578.00 filing fee (46 claims - 8 independent)

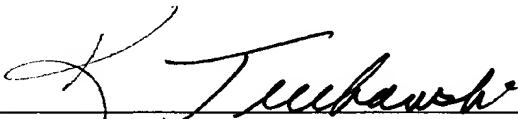
Drawings (4 sheets - Figs 1-4)

Unexecuted Declaration

Letter Under Rule 37

Certified copy of Japanese priority document No. P2000-073453

Return postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees